



Date: 30/05/2023

To,
The Department of Corporate Services,
BSE Limited,
Ground Floor, P.J. Towers,
Dalal Street Fort,
Mumbai, MH - 400001

Ref.: Scrip Code- 501314

Sub: Submission of Annual Secretarial Compliance Report for the Year ended 31st March, 2023

Dear Sir/Madam,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are submitting here with the Annual Secretarial Compliance Report for the year ended 31st March, 2023.

Please take the same on your record and acknowledge the receipt of the same.

Thanking You,
Yours Faithfully,
For Prismx Global Ventures Limited

RAVINDRA
BHASKAR
DESHMUKH

Digitally signed by RAVINDRA
BHASKAR DESHMUKH
DN: cn=RAVINDRA BHASKAR
DESHMUKH c=IN o=Personal
Reason: I am the author of this document
Location:
Date: 2023-05-30 19:37+05:30

Ravindra Bhaskar Deshmukh
Director
DIN - 00290973



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1st Floor, Purva Building, Tejpal Scheme Road
No. 3, Vile Parle (East), Mumbai - 400057





NITESH CHAUDHARY & ASSOCIATES
PRACTICING COMPANY SECRETARY

SECRETARIAL COMPLIANCE REPORT OF PRISMx GLOBAL VENTURES LIMITED
For the year ended on 31st March, 2023
(Under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

To,
PRISMx GLOBAL VENTURES LIMITED
1ST FLOOR, PURVA BUILDING,
TEJPAL SCHEME ROAD NO. 3, VILE PARLE (EAST),
MUMBAI MH 400057 IN

I Nitesh Chaudhary have examined:

- (a) all the documents and records made available to us and explanation provided by M/s. Prismx Global Ventures Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) other documents/ filings, which were relevant, and relied upon to make this certification and issue this report,

For the year ended 31st March, 2023 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- i) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- ii) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- iii) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- iv) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (not applicable for the year under review);
- v) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (not applicable for the year under review).
- vi) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (not applicable for the year under review);
- vii) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; (not applicable for the year under review);
- viii) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- ix) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- x) Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018;

And based on the above examination, I hereby report that, during the review period:

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Email: csniteshchaudhary@gmail.com; Website: www.csniteshchaudhary.com
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(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

S. No.	Compliance Requirement (Regulations/ Circulars / Guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action (Advisory/ Clarification/ Fine/ Show Cause notice/ Warning, etc)	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remark
1	Regulation 17(1) of SEBI (LODR) Regulation, 2015	Regulation 17(1)	The Company has received a notice from BSE that the Company has made Non-compliance with the requirements pertaining to the Composition of the Board i.e. Number of Directors on the Board of Prismx Global Ventures Ltd is 5 which is less than 6.	BSE	Clarification / Fine	Non-compliance with the requirement i.e. Number of Directors on the Board of Prismx Global Ventures Ltd is 5 which is less than 6, due to as on 31 st March, 2022 entered into top 2000 list of Companies	35,400 /- Including GST	As per the information given by the company, the company has submitted its clarification letter and waiver application. However the BSE Ltd has revoked the Waiver application and Imposed the penalty of Rs. 35,400/- including GST.	The Management of the company informed that the Company Paid the fine amount of Rs. 35,400/- including GST to the BSE Ltd.	-
2	Regulation 23(9) of SEBI(LODR) Regulation, 2015	Regulation 23(9)	Non-compliance with disclosure of related party transaction on consolidated basis	BSE	Waiver application/Clarification Letter	Non Compliance with Disclosure of Related Party transaction on consolidated basis and BSE	177000 /- including GST	As per the information given by the company, there is Non-compliance with Disclosure of Related Party	The management of the company informed that the Company has complied with the disclosure of Related Party	

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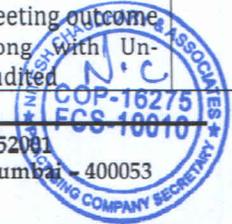
						imposed penalty of Rs. 1,77,000/- including GST		transaction on consolidated basis. BSE Ltd. imposed penalty of Rs. 177000/- including GST on company, accordingly company file the waiver application for waive of penalty. As per the received information BSE Ltd. did not waive the penalty and company paid the above fine amount to BSE.	transaction within the time limit and the Company has also informed that the Clarifications and waiver application in this respect has been filed with the reasons by the Company with Stock exchange. The Stock Exchange imposed the penalty of Rs. 1,77,000/- and the same is paid by the Company.	
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(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

(c) The Listed Entity has taken following Actions to comply the Observations made in Previous Reports:

S. No.	Compliance Requirement (Regulations/ Circulars/ Guidelines Including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action (Advisory/ Clarification/ Fine/ Show Cause notice/ Warning, etc)	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remark
1	Compliance under Regulation 33 for the quarter ended September	Regulation 33	The Company has received a notice from BSE that the	BSE	Clarification Letter and waiver application	Non Compliance under Regulation 33, Standalone 7	Penalty levied of Rs. 1003 00/- inclu	The Company has informed to us, that revised Standalone & consolidated Un-audited Financials	The management of the company informed that, the Company has filed the Board Meeting outcome along with Un-Audited	-

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r 2021		Company has made Non-submission of Standalone Results for the Quarter ended 30 th September, 2021			consolidated Financial results for the Quarter ended September, 2021	ding GST imposed by BSE Ltd. On the Company.	result has been submitted to the BSE along with clarification letter and waiver application and Company requested for waiver of penalty. The Company has not received any approval of waiver application filed by Company and paid the penalty of Rs. 100300/- including GST to BSE Ltd.	Financial Results with-in prescribed time, however at the time of conversion of PDF file in Machine Readable format due to technical glitched of Software some of the documents were skipped in file. The Company has also informed that the clarification and waiver application was filed by Company with the Stock Exchange and also has submitted revised outcome and Un-audited Standalone & Consolidated Financial Results for the Quarter ended 30 th September, 2021. As the BSE did not waived the fine and company paid the same to BSE Ltd.
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For Nitesh Chaudhary & Associates
Practicing Company Secretary



Nitesh Chaudhary
Proprietor
C.P. No.: 16275
Membership FCS - 10010
UDIN: F010010E000411631

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The additional affirmations by Practicing Company Secretary (PCS) in Annual Secretarial Compliance (ASCR) in terms of the BSE Circular reference No. 20230410-41 dated; April 10, 2023, are given in the attached Annexure.

For Nitesh Chaudhary & Associates
Practicing Company Secretary



Nitesh Chaudhary
Proprietor

C.P. No.: 16275

Membership FCS - 10010

UDIN: F010010E000411631



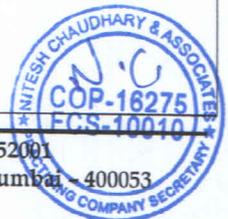
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PRACTICING COMPANY SECRETARY

Annexure

Additional affirmations by Practicing Company Secretary (PCS) in Annual Secretarial Compliance (ASCR) in terms of BSE Circular reference no. 20230410-41 dated : April 10, 2023

S. No.	Particulars	Compliance Status (yes/ No/ NA)	Observations/ Remarks by PCS*
1	Secretarial Standards: The compliances of the Company are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries of India (ICSI)	yes	-
2	Adoption and timely updation of the policies: <ul style="list-style-type: none">• All applicable policies under SEBI Regulations are adopted with the approval of the Board of Directors of the Company.• All the policies are in conformity with SEBI Regulations and have been reviewed and timely updated as per the regulations/ circulars/ guidelines issue by SEBI.	yes	-
3	Maintenance and disclosures on website: <ul style="list-style-type: none">• The Company is maintaining a functional website.• Timely dissemination of the documents/ information under a separate section on the website.• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website.	yes	-
4	Disqualification of Director: None of the Directors of the Company are disqualified under Section 164 of Companies Act, 2013.	yes	-
5	To examine details related to Subsidiaries of the Company: <ul style="list-style-type: none">• Identification of material subsidiary companies.• Requirements with respect to disclosure of material as well as other subsidiaries.	yes	-
6	Preservation of Documents: The company is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of	yes	-

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	preservation of Documents and Archival policy prescribed under SEBI LODR Regulations.		
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S. No.	Particulars	Compliance Status (yes/ No/ NA)	Observations/ Remarks by PCS*
7	<u>Performance Evaluation:</u> The Company has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations/ companies Act, 2013	yes	-
8	<u>Related Party Transactions:</u> <ul style="list-style-type: none">The Company has obtained prior approval of the Audit Committee for all related Party Transactions.In case no prior approval obtained, the Company shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ ratified / rejected by the Audit Committee.	yes	-
9	<u>Disclosure of events or information:</u> The Company has provided all the required disclosure(s) under Regulation 30 read with schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	yes	-
10	<u>Prohibition of Insider trading:</u> The Company is in compliance with Regulations 3(5) & 3(6) of SEBI(Prohibition of Insider Trading) Regulations, 2015	yes	-
11	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u> No Action taken against the Company/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchange (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder	yes	-
12	<u>Additional Non-compliances, if any:</u> No additional non-compliance observed for all SEBI Regulation/ circular/ guidance note etc.	yes	-

*Observations/ Remarks by PCS are mandatory if the Compliance status is provided as 'No' or 'NA'.




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